



POLICY AND COMMITMENT TO ENSURE IMPARTIALITY AND FAIRNESS IN CGLOBAL'S AUDIT AND CERTIFICATION ACTIVITIES

To ensure impartiality in the audit and certification process, GLOBAL INSPECTION AND CERTIFICATION NETWORK (CGLOBAL) PTE. LTD. commits to:

1. CGLOBAL commit to ensuring the accuracy, honesty, timeliness, fairness and impartiality in CGLOBAL's policies so that all employees feel comfortable in their work and enjoy benefits commensurate with their assigned responsibilities and tasks. All employees have access to CGLOBAL's regulations and policies appropriate to each position.

2. CGLOBAL ensures accuracy, honesty, timeliness, independence, fairness and impartiality in the assessment by requiring the auditors and members of the audit team to:

a) Not have financial relationships with the Customer;

b) Not have family relationships with key leaders of the Customer;

c) Not consult, advise the Customer and not have conflicts of interest.

d) Absolutely do not provide consulting services to customers:

- Do not consult on quality management systems;

- Do not consult on the development of Standards/Regulations for customers

- Do not consult on the development of quality assurance processes/plans for customers.

e) CGLOBAL does not market or solicit the activities of a management system consultancy, and will take action to correct any inappropriate information from any consultancy that states or implies that certification can be simpler, easier, faster or less costly if a certification body is selected. CGLOBAL shall not state or imply that certification can be simpler, easier, faster or less costly if a particular consultancy is used. CGLOBAL requires all CGLOBAL employees and auditors not to market certification services to consultancy organisations, as marketing of services may lead to collusion between CGLOBAL employees and consultancy organisations, leading to a loss of impartiality in certification activities.

f) CGLOBAL stipulates that employees who have provided consulting or work for a client will not review records, audit systems or make certification decisions for that client, product and/or manufacturer for a period of 02 years from the end of the consulting contract or work contract.

3. CGLOBAL ensures independence, fairness and impartiality in certification decisions through the activities of its members in the Certification Board, who must ensure:

a) Representation of interests for interested parties

b) No financial, occupational, or emotional pressures in their decisions

c) Understanding of the law.



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4. CGLOBAL ensures that clients are provided with information on certification standards, audit and certification regulations, procedures for issuing, maintaining, extending, suspending, or withdrawing certificates, and rules regarding the use of the certification logo and certification fees.
5. CGLOBAL identifies organizations and individuals involved in CGLOBAL's certification audit activities that may pose risks of unfairness and implements preventive measures to ensure fairness in CGLOBAL's audit activities (according to **F01-MS.GC – Table for identification and analysis of potential risks leading to a loss of impartiality, fairness in audit activities**). This list is provided to the members of the Certification Board and published on the website.
6. CGLOBAL facilitates its clients' ability to participate in the decision-making process regarding the selection of the audit team if the clients perceive potential unfairness in CGLOBAL's certification audit activities due to the composition of the audit team.
7. CGLOBAL establishes a monitoring mechanism during the audit process to ensure fairness. Additionally, CGLOBAL is resolute in not using an auditor if, during the monitoring process, CGLOBAL discovers that the auditor has not clearly disclosed their relationship with the client being audited, as was not identified in section 10.5.
8. CGLOBAL establishes a financial regulation to assess sources of income and publishes it to the members of the Certification Board to ensure the impartiality and fairness of the certification activities.
9. CGLOBAL ensures the recruitment or approach to a sufficient number of auditors, including lead auditors and technical experts, to carry out certification activities and manage the audit workload.
10. CGLOBAL has adequate arrangements (reserve fund) to cover liabilities arising from its activities in the fields and geographic areas where it provides certification services.
11. CGLOBAL is committed to not providing consultancy services to clients in any form.
12. CGLOBAL is committed to not certifying other certification bodies.

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**GLOBAL INSPECTION AND CERTIFICATION
NETWORK (CGLOBAL) PTE. LTD.**



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